CMS RECORD SPECIFICATION

FILE NAME: **DATE**:
ESRD FACILITY SURVEY FILE   January 2006

Field	Size	Location	Remarks
Provider Master No.	6	1- 6	Identification number of provider First 2 digits =Numeric State Code (See Attachment A) Next 4 digits = type of services 0001 - 0899 Short Stay Hospitals 2000 - 2299 Long Term Hospital 2300 - 2499 Hospital-Based Chronic Renal Care Facilities 2500 - 2899 Non-Hospital Renal Disease Treatment Centers 2900 - 2999 Independent Special Purpose Renal Dialysis Facilities 3300 - 3399 Children=s Hospitals 3500 - 3699 Renal Disease Treatment Center (Hospital Satellites) 3700-3799 Hospital-based Special Purpose Renal Dialysis Facilities
Provider Name	38	7- 44	Name of Facility (First 38 characters including blanks)
Address 1	38	45- 82	First line of Facility address (38 Characters Including Blanks)
Address 2	38	83-120	Second line of Facility address (38 Characters Including Blanks)
City	31	121-151	City of Provider
State	2	152-153	State Abbreviation (See Attachment A)
Zip	5	154-158	5 Position Zip Code

CMS RECORD SPECIFICATION

FILE NAME: **DATE**:
ESRD FACILITY SURVEY FILE   January 2006

Field	Size	Location	Remarks
Stations	3	159-161	Total Number of Dialysis Stations at Facility
Telephone Number	10	162-171	Area Code plus phone number Of facility
Affiliations	40	172-211	Facility Local/National Affiliation/Chain information
In-center Hemodialysis	1	212	Code to Indicate In-center Hemodialysis (new indicator) 1=Yes 0=No
Peritoneal Dialysis	1	213	Code to Indicate Peritoneal Dialysis (new indicator) 1=Yes 0=No
Home Hemodialysis Training	1	214	Code to Indicate Home Hemodialysis Training (new indicator) 1=Yes 0=No
Shift After 5	1	215	Code to Indicate Dialysis Shift after 5:00 PM (new indicator) 1=Yes 0=No
CCPD	1	216	Code to Indicate Continuous Cycle Peritoneal Dialysis Y=Yes N=No
Maintenance Hemo	1	217	Code to Indicate Staff Assisted Hemodialysis Y=Yes N=No

CMS RECORD SPECIFICATION

FILE NAME: **DATE**:

ESRD FACILITY SURVEY FILE   January 2006

Field	Size	Location	Remarks
Maintenance Peri	1	218	Code to Indicate Staff Assisted Peritoneal Dialysis Y=Yes N=No
Self-Care Hemo	1	219	Code to Indicate In-center Self-Care Hemodialysis at Facility Y=Yes N=No
Self-Care Peri	1	220	Code to Indicate In-center Self-Care Peritoneal Dialysis at Facility Y=Yes N=No
Training Hemo	1	221	Code to Indicate Hemodialysis Training at Facility Y=Yes N=No
Training Peri	1	222	Code to Indicate Peritoneal Dialysis Training Y=Yes N=No
CAPD	1	223	Indicates CAPD (Continuous Ambulatory Peritoneal Dialysis) Y=Yes N=No
Certification Date	8	224-231	CCYYMMDD-Date of Certification to provide renal services
Certification Type	1	232	Code Indicating Type of Facility Certification 1=Transplant Center Only 2=Dialysis Center (usually a hospital rendering full spectrum of dialysis services including laboratory tests.) 3=Dialysis Facility Hospital (A unit separate from but located within the hospital. Renders dialysis servcies but not full spectrum.) 4=Dialysis Facility (Not a hospital. Renders dialysis services but not full spectrum. Also referred to as independent facilities.) 5=Transplant and Dialysis Center (A hospital rendering transplants and full spectrum of dialysis services.)

CMS RECORD SPECIFICATION

FILE NAME: **DATE:**

ESRD FACILITY SURVEY FILE   January 2006

Field	Size	Location	Remarks
			6=Special Purpose Facility (There are no approved facilities in this category. Therefore, definition has been omitted.) 7=Inpatient Care Only (Hospitals approved as a dialysis center but usually does 80% of dialysis on inpatient basis.)
Termination Date	8	233-240	CCYYMMDD Blanks Unless Facility terminated
ESRD Network	2	241-242	Renal Network Number Provider assigned (01-18) Current at time of Survey (Attachment A)
Region	2	243-244	CMS Regional Office Code (01-10) (Attachment A)
Change Date	8	269-276	CCYYMMYY of last change to record

CMS RECORD SPECIFICATION

FILE NAME:	DATE:
ESRD FACILITY SURVEY FILE	January 2006

DIALYSIS PATIENTS AND TREATMENTS

Field	Size	Location	Remarks
DIALYSIS PATIENTS			
Patients Receiving care at Beginning of Survey Period			
01. Beginning In-center	3	277-279	In-center patients receiving care beginning of Survey Period
02. Beginning Home	3	280-282	Home patients receiving care beginning of Survey period
03. Beginning Total	3	283-285	Total patients receiving care beginning of Survey Period (Sum of Items 01+02)
Additions During Survey Period			
04A. In-center First Start	3	286-288	In-center started for first time ever addition during Survey Period
04B. Home First Start	3	289-291	Home started for first time ever addition during Survey Period
05A. In-center Restarted	3	292-294	In-center Restarted Addition During Survey Period
05B. Home Restarted	3	295-297	Home Restarted Addition during Survey Period
06A. In-center Transferred In	3	298-300	In-center Transferred from other dialysis unit-addition during Survey Period
06B. Home Transferred In	3	301-303	Home Transferred from other dialysis unit-addition during Survey Period

CMS RECORD SPECIFICATION

FILE NAME: **DATE:**

ESRD FACILITY SURVEY FILE   January 2006

Field	Size	Location	Remarks
07A. In-center Transplant Return	3	304-306	In-center Returned After Transplantation-Addition during Survey Period
07B. Home Transplant Return	3	307-309	Home Returned After Transplant Addition During Survey Period
Losses During Survey Period			
08A. In-center Deaths	3	310-312	In-center Deaths-Losses During Survey Period
08B. Home Deaths	3	313-315	Home Deaths-Losses During Survey Period
09A. In-center Recovered	3	316-318	In-center Recovered Kidney Function Losses During Survey Period
09B. Home Recovered	3	319-321	Home Recovered Kidney Function Losses During Survey Period
10A. In-center Received Transplant	3	322-324	In-center Received Transplant Losses During Survey Period
10B. Home Received Transplant	3	325-327	Home Received Transplant Losses During Survey Period
11A. In-center Transferred Out	3	328-330	In-center Transferred to other dialysis unit - Losses During Survey Period
11B. Home Transferred Out	3	331-333	Home Transferred to other dialysis unit - Losses During Survey Period
12A. In-center Discontinued	3	334-336	In-center Discontinued Dialysis Losses During Survey Period
12B. Home Discontinued	3	337-339	Home Discontinued Dialysis Losses During Survey Period

FILE NAME: **DATE:**
ESRD FACILITY SURVEY FILE   January 2006

Field	Size	Location	Remarks
13A. In-center Other	3	340-342	In-center Other (LTFU) Losses During Survey Period
13B. Home Other	3	343-345	Home Other (LTFU) Losses During Survey Period
Patients Receiving Care at End Of Survey Period			
14. Staff Hemo	3	346-348	Staff-assisted and/or hemodialysis patients receiving care at end of Survey Period
15. Staff Other	3	349-351	Staff-assisted and/or intermittent peritoneal dialysis patients receiving care at end of Survey Period
16. Hemo Training	3	352-354	Self-dialysis training; hemodialysis patients receiving care at end of Survey Period
17. CAPD Training	3	355-357	Self-dialysis training; CAPD patients receiving care at end of Survey Period
18. CCPD Training	3	358-360	Self-dialysis training; CCPD patients receiving care at end of Survey Period
19. Other Training	3	361-363	Self-dialysis training; Peritoneal or other dialysis patients receiving care at end of Survey Period
20. Total In-center	3	364-366	Total In-center patients receiving care at end of Survey Period (Sum Items 16 thru 19 = Item 20)

CMS RECORD SPECIFICATION

FILE NAME: **DATE:**

ESRD FACILITY SURVEY FILE   January 2006

Field	Size	Location	Remarks
21. Home Hemo	3	367-369	Hemodialysis home patients receiving care at end of Survey Period
22. Home CAPD	3	370-372	CAPD home patients receiving care at end of Survey Period
23. Home CCPD	3	373-375	CCPD home patients receiving care at end of Survey Period
24. Home Other	3	376-378	Intermittent Peritoneal Dialysis or other home patients receiving care at end of Survey Period
25. Total Home	3	379-381	Total home patients receiving care at end of Survey Period (Sum Items 21 thru 24=25)
26. Total Patients	3	382-384	Total patients receiving care at end of Survey Period- (Sum Items 20 and 25 = Item 26)
27. Medicare Enrolled	3	385-387	Currently enrolled in Medicare patient eligibility status end of Survey Period
28. Application	3	388-390	Medicare application pending- Pending Patient eligibility status end of Survey Period
29. Non-Medicare	3	391-393	Non-Medicare patient eligibility status end of Survey Period

CMS RECORD SPECIFICATION

FILE NAME: **DATE:**
ESRD FACILITY SURVEY FILE   January 2006

Field	Size	Location	Remarks
Hemodialysis Patients Dialyzing More Than 4 Times per Week			
30A. In-center Daytime Dialysis	3	394-396	In-center Patients dialyzing during the day
30B. Home Daytime Dialysis	3	397-399	Home patients dialyzing during the day
31A. In-center Nocturnal Dialysis	5	400-404	In-center patients receiving Nocturnal dialysis
31B. Home Nocturnal Dialysis	5	405-409	Home patients receiving Nocturnal dialysis
Vocational Rehabilitation			
32. Patients aged 18 through 54 years	3	410-412	Patients aged 18 through 54
33. Patients receiving Vocational Rehabilitation services	3	413-415	Patients receiving Vocational Rehabilitation services
34. Patients employed full-time Or Part-time	3	416-418	Vocational Rehabilitation patients employed full-time or part-time
35. Patients attending school Full-time or Part-time	3	419-421	Patients attending school full-time or part-time
TREATMENT AND STAFFING			
In-center Dialysis Treatments (Include TrainingTreatments)			
36. Outpatient Hemo tmts	5	422-426	Number of Hemodialysis Outpatient Treatments
37. Outpatient Other tmts	5	427-431	Number of Outpatient Treatments other than hemodialysis

CMS RECORD SPECIFICATION

FILE NAME: **DATE:**
ESRD FACILITY SURVEY FILE   January 2006

Field	Size	Location	Remarks
Staffing			
RN's on staff Full-time	3	432-434	Registered Nurses on staff Full-time
LPN / LVN on staff Full-time	3	435-437	Licensed Practical Nurses or Licensed Visiting Nurses on Staff Full-time
PCT on staff Full-time	3	438-440	Patient Care Technicians on staff Full-time
APN on staff Full-time	3	441-443	Advanced Practice Nurses on staff Full-time
Dieticians on staff Full-time	3	444-446	Dieticians on staff Full-time
SW on staff Full-time	3	447-449	Social Workers on staff Full-time
RN's on staff Part-time	3	450-452	Registered Nurses on staff Part-time
LPN / LVN on staff Part-time	3	453-455	Licensed Practical Nurses or Licensed Visiting Nurses on Staff Part-time
PCT on staff Part-time	3	456-458	Patient Care Technicians on staff Part-time
APN on staff Part-time	3	459-461	Advanced Practice Nurses on staff Part-time
Dieticians on staff Part-time	3	462-464	Dieticians on staff Part-time
SW on staff Part-time	3	465-467	Social Workers on staff Part-time

CMS RECORD SPECIFICATION

FILE NAME: **DATE:**
ESRD FACILITY SURVEY FILE   January 2006

Field	Size	Location	Remarks
RN positions open; Full-time	3	468-470	Registered Nurse positions open; Full-time
LPN / LVN positions open; Full-time	3	471-473	Licensed Practical Nurse or Licensed Visiting Nurse positions open; Full-time
PCT positions open; Full-time	3	474-476	Patient Care Technicians positions open; Full-time
APN positions open; Full-time	3	477-479	Advanced Practice Nurse positions open; Full-time
Dietician positions open; Full-time	3	480-482	Dietician positions open; Full-time
SW positions open; Full-time	3	483-485	Social Worker positions open; Full-time
RN positions open; Part-time	3	486-488	Registered Nurse positions open; Part-time
LPN / LVN positions open; Part-time	3	489-491	Licensed Practical Nurse or Licensed Visiting Nurse positions open; Part-time
PCT positions open; Part-time	3	492-494	Patient Care Technician positions open; Part-time
APN positions open; Part-time	3	495-497	Advanced Practice Nurse positions open; Part-time
Dietician positions open; Part-time	3	498-500	Dietician positions open; Part-time
SW positions open; Part-time	3	501-503	Social Worker positions open; Part-time

CMS RECORD SPECIFICATION

FILE NAME:			DATE:
ESRD FACILITY SURVEY FILE			January 2006

KIDNEY TRANSPLANTS PERFORMED

Field	Size	Location	Remarks
PATIENTS TRANSPLANTED AND DONOR TYPE			
Patients who received a Transplant at the facility			
42. Transplant Patients	3	504-506	Patients who received transplant at the facility
Eligibility Status of Patients Transplanted at the facility During The Survey Period			
43. Medicare Patients Transplanted	3	507-509	Patients Transplanted and Currently enrolled in Medicare
44. Medicare Application Pending	3	510-512	Patients Transplanted and Medicare Application Pending
45. Non-Medicare Transplants-U.S.	3	513-515	Patients Transplanted and Non-Medicare-U.S. Resident
46. Non-Medicare Transplants-Other	3	516-518	Patients Transplanted and Non-Medicare-Other

CMS RECORD SPECIFICATION

FILE NAME: **DATE:**
ESRD FACILITY SURVEY FILE   January 2006

Field	Size	Location	Remarks
Transplant procedures Performed at the facility			
47. Living Related Donor Transplants	3	519-521	Living Related Donor Transplants Performed
48. Living Unrelated Donor Transplants	3	522-524	Living Unrelated Donor Transplants Performed
49. Deceased Donor Transplants	3	525-527	Deceased Donor Transplants Performed
50. Total Transplants	3	528-530	Total Transplants Performed (Sum Items 47 thru 49 = Item 50)
Patients Awaiting Transplant			
51. Dialysis Awaiting Transplants	4	531-534	Dialysis Patients Awaiting Transplant
52. Non-Dialysis Awaiting	3	535-537	Non-Dialysis Patients Awaiting Transplant
Facility Survey Year	4	538-541	Year in which these Survey data were collected

State Code Conversion Table

State Name	State Code Alpha/num	CMS Region	Network	Old Network
Alabama	AL / 01	4	8	18-20
Alaska	AK / 02	10	16	2
Arizona	AZ / 03	9	15	6
Arkansas	AR / 04	6	13	10-18
California	CA / 05	9	17, 18	3, 4
Colorado	CO / 06	8	15	5
Connecticut	CT / 07	1	1	27
Delaware	DE / 08	3	4	24, 31
District of Columbia	DC / 09	3	5	23
Florida	FL / 10	4	7	19
Georgia	GA / 11	4	6	18-20
Hawaii	HI / 12	9	17	1
Idaho	ID / 13	10	16	2
Illinois	IL / 14	5	10	8, 9, 15
Indiana	IN / 15	5	9	16

State Name	State Code Alpha/num	CMS Region	Network	Old Network
Iowa	IA / 16	7	12	8
Kansas	KS / 17	7	12	9
Kentucky	KY / 18	4	9	17
Louisiana	LA / 19	6	13	12
Maine	ME / 20	1	1	28
Maryland	MD / 21	3	5	23, 31
Massachusetts	MA / 22	1	1	28
Michigan	MI / 23	5	11	7, 14
Minnesota	MN / 24	5	11	7
Missouri	MO / 26	7	12	9, 18
Mississippi	MS / 25	4	8	18
Montana	MT / 27	8	16	2
Nebraska	NE / 28	7	12	5
Nevada	NV / 29	9	15	3, 4
New Hampshire	NH / 30	1	1	28
New Jersey	NJ / 31	2	3	32
New Mexico	NM / 32	6	15	6
New York	NY / 33	2	2	25, 26
North Carolina	NC / 34	4	6	21
North Dakota	ND / 35	8	11	7

State Name	State Code Alpha/num	CMS Region	Network	Old Network
Ohio	OH / 36	5	9	17, 22
Oklahoma	OK / 37	6	13	10
Oregon	OR / 38	10	16	2
Pennsylvania	PA / 39	3	4	22, 24, 26
Puerto Rico	PR / 40	2	3	29
Rhode Island	RI / 41	1	1	28
South Carolina	SC / 42	4	6	20
South Dakota	SD / 43	8	11	7
Tennessee	TN / 44	4	8	18
Texas	TX / 45	6	14	11
Utah	UT / 46	8	15	5, 6
Vermont	VT / 47	1	1	28
Virginia	VA / 49	3	5	18, 30, 23
Virgin Islands	VI / 48	2	3	29
Washington	WA / 50	10	16	2
West Virginia	WV / 51	3	5	30
Wisconsin	WI / 52	5	11	7, 13
Wyoming	WY / 53	8	15	5
American Samoa	AS / 64	64	17	1

State Name	State Code Alpha/num	CMS Region	Network	Old Network
Guam	GU /65	65	17	1
Mariana Island	MI / 66	66	17	1